

**YORK-POQUOSON-WILLIAMSBURG-JAMES CITY COUNTY
POLICIES AND PROCEDURES FOR
FAMILY ASSESSMENT AND PLANNING TEAMS
Presented for Review February and September 2023
Approved September 18, 2023**

I. Description of the Family Assessment and Planning Teams (FAPT)

Based on the Code of Virginia § 2.2-5207, the Family Assessment and Planning Team shall assess the strengths and needs of identified youth and families who are approved for referral to the team to identify and determine the complement of services required to meet these unique needs. The FAPT is the interagency group responsible for carrying out the implementation of the Children's Services Act.

The FAPT for City of Williamsburg, James City County and City of Poquoson and York County (combined) will demonstrate through practice and local policies a commitment to the following operational principles:

- The FAPT will include members from all agencies required by the Code of Virginia; these members are knowledgeable about resources for families available through their agency and/or community; the FAPT has established policies or procedures that highlight the role of the Parent Representative in the FAPT.
- The FAPT has an orientation process for new members. FAPT members engage in regular training that supports the enhancement of program implementation and improvement.
- FAPT nurtures a culture of safety and respect for families, case managers, providers, and members that assists in developing and maintaining relationships within the community.
- The FAPT provides comprehensive family engagement; it is the expectation of the FAPT that families and providers will attend and participate in meetings. The FAPT engages all relevant stakeholders (i.e. foster parents, GAL, CASA, biological family, etc.) in the service planning process. The FAPT provides for family participation when families cannot attend meetings in person.
- FAPT reviews for CSA eligibility. FAPT members are familiar with all eligibility categories. FAPT meetings are organized with a clear schedule.
- FAPT identifies youth at risk for placement outside of the local community (foster care, DJJ, residential treatment, private day education) and works with families and community partners to limit out-of-home placements and strengthen community connection.
- FAPT members are familiar with all funding streams available to children and families, and ensure appropriate funding streams are accessed before recommending CSA funding for services to maximize the blending and braiding of funding.

- The FAPT utilizes the CANS Assessment in service planning to build upon the strengths and meet the needs of eligible children and families, and assures for an individual to monitor and report on Individual and Family Service Plan (IFSP) progress.
- The FAPT ensures the IFSP developed for each child is unique and is designed to meet the distinct needs of the child and family. The IFSP is reviewed and updated at the frequency dictated by state and local policy, incorporating recommendations from the Utilization Review process.
- FAPT engages in holistic discharge planning from the onset of services.

II. Families Served by the Family Assessment and Planning Teams

In order to be eligible for CSA funding, a youth, or family with a child, shall meet one or more of the criteria below and shall be determined through the use of a uniform assessment instrument and by policies of the Community Policy and Management Team to have access to these funds. COV § 2.2-5212 A.

- Children who require placement for the purpose of special education in approved private school programs; or for transitional services as set forth in B6 of COV § 2.2-5211.
- Children for whom a continuum of services are being provided to prevent foster care placements;
- Children who have been entrusted to the local Department of Social Services by their parents or guardians and/or;
- Children whose custody has been committed by a Juvenile and Domestic Relations Court or other court of competent jurisdiction for purposes of placement in suitable family homes, child-caring institutions, residential facilities, or independent living arrangements.
- “Children in need of services” (CHINS) designated by the FAPT who either 1) remain in their homes and have been identified as needing services to prevent or eliminate the need for foster care placements; or 2) have been placed outside of their homes through an agreement between the parents or legal guardians and the LDSS or the public agency designated by the CPMT where legal custody remains with the parents or legal guardians.
- Students with a disability who require non-residential services in the home and community when the needs associated with his or her disability extend beyond the school setting and threaten the student’s ability to be maintained in the home, community or school setting. This is contingent upon availability of funds as appropriated by the General Assembly.

For purposes of determining eligibility for CSA funding, “child” or “youth” means (i) a person less than eighteen years of age and (ii) any individual through twenty-one years of age who is otherwise eligible for mandated services of the participating state agencies including special education and foster care services. COV § 2.2-5212 B.

III. Catchments Area of the Family Assessment and Planning Teams

The FAPT will serve families with children who have residence in the cities of Williamsburg and Poquoson and the counties of James City and York and/or under the guardianship of an adult or an agency in the catchments area such as Social Services or Court Services.

FAPT will be established for each locality; City of Williamsburg, City of Poquoson, York County and James City County. Teams may decide to meet in conjunction with another locality if and when appropriate (i.e.: York County/City of Poquoson. City of Williamsburg/James City County). Each of these localities will determine the frequency of the FAPT meetings as they deem necessary to serve their targeted populations.

IV. Membership of the Family Assessment and Planning Teams

The FAPT consist of representatives from the following agencies:

1. Ninth District Court Service Unit
2. Colonial Behavioral Health
3. Department of Social Services
4. Parent Representative
5. School System
6. Other representatives may be appointed to the Family Assessment and Planning Team at the discretion of the Community Policy and Management Team. These members will be re-evaluated on a yearly basis.

CSA Coordinator for each locality will maintain a list of FAPT members. Agency staff appointed to the Family Assessment and Planning Teams will have the authority to access services within their respective agencies.

A quorum for each locality's FAPT will be defined as four (4) members.

V. Operation and Coordination of the Family Assessment Planning Teams

The CSA Coordinator from each locality will facilitate cases being referred to the FAPT and monitor compliance with FAPT Policies and Procedures by the case managers.

Formal multidisciplinary teams can be developed at the approval of the local Community Planning and Management Team and the Office of Children's Services to meet and approve services in lieu of FAPT.

VI. Family Participation

The Family Assessment and Planning Team shall provide for family participation in all aspects of assessment, planning, and implementation of services. COV § 2.2-5208.2. Parents and guardians should be present for the reviews and actively participate in the service planning for all cases other than mandated IEP and foster care cases unless prior arrangements have been made with the CSA Coordinator.

It is the responsibility of the case manager to explain to the parent/guardian that they are expected to attend FAPT, except in cases where services are mandated by the Individual Education Plan (IEP) or Department of Social Services (DSS) has guardianship. In the event that the parent/guardian is unable to attend the FAPT meeting, services should not be implemented until the parent/guardian has signed the Individual Family Service Plan (IFSP).

It is the responsibility of the case manager to notify the parent/guardian of the FAPT meeting date and time. The case manager is to invite all relevant parties to the FAPT meeting. This should include, but is not limited to the parent/guardian, service providers, foster parent, and advocates.

Parental Self-Referrals

When CSA Coordinators receive an inquiry from a parent who would like to have their child staffed by FAPT, the Coordinator shall review the family's current services to explore whether or not they are already connected to a member agency that can bring the case to the team. If the family has an existing relationship with a member agency, then they will be referred back to their worker at the agency for completion of the referral process. If the CSA Coordinator assesses that the family's needs can be served through referral to a service or another process (i.e. Prevention Services or a Family Partnership Meeting), then the Coordinator shall connect that family with the needed service.

If the family is not connected to an agency and/or their needs cannot be met through information and referral; the CSA Coordinator may schedule a meeting with the parents or refer the family to the appropriate public agency to complete the FAPT referral documents. The case will be presented at the earliest available FAPT date and the team will assess eligibility and service planning. The CSA Coordinator will work with the member agencies to identify the most appropriate agency to provide ongoing case management services.

Parental Co-Pay

In accordance with the Parental Co-Pay Policy established by the York-Poquoson-Williamsburg-James City County Consortium of CPMTs, the CSA Coordinator will review the Parental Co-Pay Notification and Agreement form with the parents/guardians. Where parental or legal guardian financial contribution are not specifically prohibited by federal or state law or regulation, or has not been ordered by the court or by the Division of Child Support Enforcement; the CSA Coordinator will assess the ability of parents or legal guardians, utilizing a standard sliding fee scale, based upon ability to pay, to contribute financially to the cost of services to be provided and provide for appropriate financial contribution from parents or legal guardians in the individual family services plan. COV § 2.2-5208

VII. Case Manager's Role in FAPT

Prior to a new case being placed on the FAPT agenda, the identified case manager should:

- Ensure that the child and/or family has exhausted all of the possible services and resources that are provided by the individual agency and there is no other agency that may be able to provide the needed services, then the case will likely be appropriate for a Family Assessment and Planning Team (FAPT) meeting.
- Explore other possible funding sources, (i.e. Family Insurance, Medicaid, Adoption Subsidies, Special Welfare Accounts, Title IV-E, Court Services funds, Mental Health Initiatives, EPSDT, Waivers, Promoting Safe and Stable Family, Fostering Futures, Family First Prevention Services) to ensure that there are no other funding sources that would be appropriate to meet the child/family's needs.
- Contact the FAPT Agency Representative to discuss the circumstances of the case and to determine if the case is eligible.
- The case manager or FAPT Agency Representative will contact the CSA Coordinator to then put the case on an upcoming FAPT agenda. In case of emergency, the CSA Coordinator will schedule an emergency FAPT meeting with a quorum of permanent members within 14 days of the request.

To prepare for a FAPT meeting, the identified case manager should:

- Meet with the family to discuss the FAPT process and the expectation for the parent/guardian's participation, as well as any support persons they may invite.
- The case manager will inform the parent/guardian of the function and membership of the FAPT.
- Ensure that all of the required paperwork is completed and provided to the CSA Coordinator at least one week prior to the scheduled FAPT, to include:
 - FAPT Consent to Disclose Information
 - Initial Referral form or Utilization Review form
 - Child and Adolescent Needs and Strengths (CANS)
 - Parental Co-Pay Screening (when applicable)
 - Parental Placement Agreement (when applicable for residential placement requests)
 - Current Individualized Education Plan (IEP) (when applicable)
 - Supporting documentation, such as a psychological assessment, report from a service provider, etc.

If significant changes occur in the status of the case or if the case manager is requesting a change in services, the CANS should be completed prior to the FAPT meeting during which the request is being made. The case manager is also responsible for ensuring that the CANS is inputted into the CANVAS online system <https://www.csa.canvas.virginia.gov> as well as providing a copy of the CANS to service providers so that Medicaid billing can occur for those services that qualify. The case manager is further responsible for ensuring that they are re-certified in the CANS on an annual basis

VIII. FAPT Meeting

Each team will assign roles to the FAPT members including chairperson, timekeeper, note taker, scheduler, with the agreement of a majority of the members.

Virtual Meetings: May be established based on individual locality policy and practice. Policy to address this practice will be established and approved by the local CPMT.

Meeting Protocol

- A. The team meeting will be called to order by the chair; Members of the FAPT will introduce themselves and their role to the team. Members of the team will sign a confidentiality form agreeing to keep information about the family confidential.
- B. The case manager will begin with a review of the Initial Referral form or the Utilization Review form. The case manager will also review the strengths and needs of the child and family based on the CANS instrument.
- C. Each member of the team will share their overall impressions and suggest initial recommendations. Family members and other professionals for the case will be asked to share their impressions and allow team members the opportunity to ask additional questions.
- D. “Child in Need of Services” (CHINS)
 - a. If the child is not receiving foster care services, mandated special education services, or is not in the custody of the Department of Social Services, the FAPT will need to determine if the child meets the criteria for CHINS. FAPT will review the standard eligibility checklist to determine eligibility. Team members may request additional documentation to determine eligibility.
 - b. If the child does not meet the criteria for CHINS, recommendations will be made for alternative community resources for the child and family.
 - c. If the child meets the criteria for CHINS, an IFSP will be developed, including short and long term goals for the child and family. The IFSP will be based on a least restrictive treatment model, with residential treatment considered only as a last resort.
- E. If community services and supports have been exhausted and determined not to be in the best interest nor meet the needs of the child, FAPT should explore placements outside of the home. Before recommending placing a child outside of the home, FAPT shall:
 - a. Explore any relatives or non-related extended family members that could be a potential placement or support;
 - b. If residential placement is recommended by FAPT, it must be documented that no other least restrictive placement is an appropriate placement;

- c. If placement outside of the state is recommended by FAPT, it must be documented that no appropriate placement is available in the locality and denial letters from all available facilities must be provided by the case manager; and
 - d. Report the rationale for the placement decision to the CPMT (COV §2.2-5211.1.2).
 - e. The case manager is responsible for ensuring that a referral to initiate the IACCT process has been made for Medicaid funded residential placements.
- F. If disagreements arise over the appropriate services for the child or family, FAPT and the legal guardian should explore alternatives for resolving the issues and document the information on the IFSP. Ultimately, it is the legal guardian's decision on whether to choose to accept the services developed with and recommended by the team. The CPMT has final authority for the expenditure of CSA funds that comply with federal and state requirements on services recommended by the team. Neither the legal guardian nor the CPMT is required to enter into an agreement if either party disagrees on the appropriate placement or services for the child.
- G. All children and families will be notified by the case manager of their right to appeal to the respective CPMT the decisions and services plan of the Family Assessment and Planning Team. The CPMT will hear the appeal in a timely manner, and will render a final decision.
- H. Once the FAPT has developed a service plan, the IFSP will be printed out for all attendees to sign. The parent/guardian is to sign the plan authorizing or denying services. In the event that the parent/guardian refuses the services, the FAPT case is closed, unless the service is court ordered or required by Federal law through an IEP.
- I. If parties of the case are not present at the meeting, the case manager will inform them of the results of the Family Assessment Team staffing, and ensure they obtain a parental signature on the IFSP prior to implementation of services.

IX. Individual Family Services Plan Implementation and Review

The case manager ensures that the recommendations of the Individual Family Services Plan are fulfilled. The case manager will ensure that referrals to community agencies and resources are carried out in accordance with the plan.

Prior to initiating services with a provider, the case manager must confirm with the CSA Coordinator that all required paperwork for contracting has been obtained. The CSA Coordinator will work with the case manager to ensure that payment for services is initiated and completed.

All FAPT cases will receive at least one annual review which will be set by the team or requested by the case manager. Cases will be reviewed at a minimum based on the chart below. However, the case manager and/or CSA Coordinator can request additional reviews, as needed

Case Type	Minimum frequency of review
Treatment foster care (Child-placing agency)	3 months
Regular foster care (Locally approved foster home)	12 months (Paper reviews)
Independent living	6 months
Private day school placement	12 months (Paper review)
Wraparound IEP services	3 months
CHINS	3 months
Foster care prevention	3 months
Children in residential care	Every month, where possible
KinGap	12 months (Paper reviews)

Regular maintenance costs for foster care cases, as defined by Title IV-E, may be exempt from the FAPT process (COV §2.2-5209). Regular maintenance costs includes food, clothing, shelter, daily supervision, school supplies, personal incidentals, liability insurance with respect to the child and reasonable travel for a child’s visitation with family or other caretakers. Daily supervision includes licensed childcare. FAPT can approve up to \$200 for additional personal incidental expenses not included in regular maintenance costs.

X. Additional Responsibilities of the Family Assessment and Planning Team

Emergency FAPT

An emergency FAPT may be held for deteriorating or unstable situations often developing suddenly or rapidly that produce acute, heightened emotional, mental, physical, or behavioral distress; or any situation or circumstance in which a youth is placed in immediate danger of abuse, neglect, or harm to self or others.

For emergency service requests for CHINS cases:

- The CSA Coordinator will schedule an emergency FAPT meeting with a quorum of permanent members within 14 days of the request.
- The FAPT members may also approve services via email, provided that the case manager documents the circumstances of the cases that warrant emergency approval.
- If the FAPT approves the requested service, the CSA Coordinator may submit an encumbrance form to the CPMT Chair or CPMT Designee.
- For emergency out-of-home placements, the case manager will facilitate the completion of the parental agreement.

All emergency service approvals must be staffed during the next available FAPT meeting date.

The case manager must submit all of the required forms in accordance with the FAPT Policies and Procedures.

Family Partnership Meetings

In an effort to further engage families in the FAPT process, the CPMT shall allow for Family Partnership Meetings (FPM) to develop a plan for services in the form of recommendation, which is to be submitted to the FAPT and/or CPMT on behalf of the legal guardian. Under these circumstances, the case manager must review the case with the CSA Coordinator to ensure that the case is appropriate to access CSA funds.

In the event that the FPM will be utilized as a substitute to the legal guardian's participation in the FAPT meeting, the legal guardian will sign a FAPT Consent to Release form and a Service Plan authorizing the assigned case manager to present the FPM recommendations to the FAPT and indicating their agreement with the services listed on the form and their willingness to fully engage in the recommended services. In the event that the FAPT members revise the FPM Service Plan, the case manager must obtain the parent's signature on the FAPT IFSP before the recommended services can be implemented.

Retention of records:

All Information about families compiled by the team will be kept by the locality's CSA Coordinator. This information may not be obtained by individuals outside of the team without the written consent of the child's legal guardian.

Retention of records will be based on the uniform documentation guidelines approved by the State Executive Council (COV § 2.2-2648.D.13) and the agency's retention and destruction policy under whose purview the record originated.

Confidentiality:

Information shared at the Family Assessment and Planning Team meetings shall be kept confidential. Confidentiality agreements shall be maintained in the case file.

History of Amendments:

Revised January 2018
Amended 2019, Section IV
Amended 2020, Section VII
Amended 2022, Section IX

